Cerebrospinal Fluid Levels of Phosphorylated Neurofilament Heavy as a Diagnostic Marker of Canine Degenerative Myelopathy


Background: No definitive, antemortem diagnostic test for canine degenerative myelopathy (DM) is available. Phosphorylated neurofilament heavy (pNF-H) is a promising biomarker for nervous system diseases.

Hypothesis/Objective: Cerebrospinal fluid (CSF) and serum pNF-H is a detectable biological marker for diagnosis of canine DM.

Animals: Fifty-three DM-affected, 27 neurologically normal, 7 asymptomatic at-risk, and 12 DM mimic dogs.

Methods: Archived CSF and serum pNF-H concentrations were determined by a commercially available ELISA. A receiver-operating characteristic (ROC) curve was generated with CSF values.

Results: Compared with old control dogs, median CSF pNF-H concentration was increased in all stages of DM; old dogs 5.1 ng/mL (interquartile range [IQR] 1.4–9.3) versus DM stage 1 23.9 ng/mL (IQR 20.8–29.6; P < .05) versus DM stage 2 36.8 ng/mL (IQR 22.9–51.2; P < .0001) versus DM stage 3 25.2 ng/mL (IQR 20.2–61.8; P < .0001) versus DM stage 4 38.0 ng/mL (IQR 11.6–59.9; P < .01). Degenerative myelopathy stage 1 dogs had increased median CSF pNF-H concentrations compared with asymptomatic, at-risk dogs (3.4 ng/mL [IQR 1.5–10.9; P < .01]) and DM mimics (6.6 ng/mL [IQR 3.0–12.3; P < .01]). CSF pNF-H concentration >20.25 ng/mL was 80.4% sensitive (confidence interval [CI] 66.09–90.64%) and 93.6% specific (CI 78.58–99.21%) for DM. Area under the ROC curve was 0.9467 (CI 0.92–0.9794). No differences in serum pNF-H concentration were found between control and DM-affected dogs.

Conclusions and Clinical Importance: pNF-H concentration in CSF is a sensitive biomarker for diagnosis of DM. Although there was high specificity for DM in this cohort, further study should focus on a larger cohort of DM mimics, particularly other central and peripheral axonopathies.

Key words: Amyotrophic lateral sclerosis; Biomarker; Dog; Neurodegenerative disease.

C anine degenerative myelopathy (DM) is a late-onset progressive neurodegenerative disease affecting many pure and mixed-breed dogs.1–4 The clinical spectrum of DM is homogeneous within and across breeds. Four stages of disease progression have been defined (Table 1).5,8 Clinical signs are the result of multisystem neurodegeneration, resulting from progressive axonal degeneration of the central and peripheral nervous systems.1,5–9 Superoxide dismutase 1 gene (SOD1) mutations (SOD1:c.118A, SOD1:c.527T) are risk factors for DM, with most cases resulting from autosomal recessive inheritance.7,10 The disease is considered to be similar in cause, progression, and prognosis to human amyotrophic lateral sclerosis (ALS).

A definitive diagnosis of DM can only be made post-mortem by spinal cord histopathology.1,6 Because SOD1 mutations are incompletely penetrant, genetic screening is insufficient for diagnosis. Currently, a presumptive clinical diagnosis is based on the following criteria: (1) compatible history and clinical signs, (2) SOD1 mutation(s), and (3) exclusion of other spinal cord and peripheral nerve diseases that can mimic DM. However, acquired spinal cord diseases can coexist with DM, confounding the clinical diagnosis. Thus, identification of a biomarker specific to DM is necessary to facilitate appropriate patient management.

Phosphorylated neurofilament heavy (pNF-H), an abundant structural protein of myelinated motor axons,11 is a promising fluid biomarker of canine12,13 and human14–18 nervous system damage and disease.
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Table 1. Classification scheme for disease severity of canine degenerative myelopathy.

<table>
<thead>
<tr>
<th>Stage</th>
<th>Neurological Signs</th>
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<tbody>
<tr>
<td>1</td>
<td>Asymmetric, general proprioceptive ataxia and spastic paresis in pelvic limbs</td>
</tr>
<tr>
<td></td>
<td>Intact spinal reflexes</td>
</tr>
<tr>
<td>2</td>
<td>Non-ambulatory paraparesis, paraplegia</td>
</tr>
<tr>
<td></td>
<td>Reduced to absent pelvic limb spinal reflexes</td>
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<tr>
<td></td>
<td>Pelvic limb muscle atrophy</td>
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<tr>
<td></td>
<td>≥ urinal/rectal incontinence</td>
</tr>
<tr>
<td>3</td>
<td>Flaccid paraplegia, thoracic limb paresis</td>
</tr>
<tr>
<td></td>
<td>Absent spinal reflexes</td>
</tr>
<tr>
<td></td>
<td>Severe pelvic limb muscle atrophy</td>
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<tr>
<td></td>
<td>Urinary/rectal incontinence</td>
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<tr>
<td>4</td>
<td>Flaccid tetraplegia</td>
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<tr>
<td></td>
<td>Absent spinal reflexes</td>
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<tr>
<td></td>
<td>Severe generalized muscle atrophy</td>
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<tr>
<td></td>
<td>Urinary/rectal incontinence</td>
</tr>
<tr>
<td></td>
<td>Dysphagia, dysphonia, respiratory difficulty</td>
</tr>
</tbody>
</table>

states. Phosphorylated lysine–serine–proline (KSP) repeats within the carboxy terminus of pNF-H are immunogenic and increase the stability of the NF-H protein. These factors, coupled with the high level of expression, enable detection of pNF-H in cerebrospinal fluid (CSF) and serum upon axonal injury. Increased serum pNF-H concentration has been associated with an unsuccessful outcome in dogs with acute, severe spinal cord injury secondary to thoracolumbar Hansen type 1 intervertebral disk herniation. Moreover, increased pNF-H concentrations in blood and CSF have shown high diagnostic performance and association with disease progression in patients diagnosed with ALS. Given the progressive central and peripheral axonal loss observed in DM, we hypothesize that pNF-H is readily detectable in CSF and serum of DM-affected dogs and can be used as a diagnostic biomarker for DM.

**Materials and Methods**

**Case Selection**

We evaluated CSF and serum samples collected between July 2012 and March 2016 from dogs recruited for various DM-related studies. All pet owners signed an informed consent form (approved by the University of Missouri Animal Care and Use Committee, protocol #8339). Samples were obtained both from dogs at the University of Missouri Veterinary Health Center and from collaborating primary care and veterinary specialty veterinarians as mail-in samples. We also collected a subset of the control samples from young purpose-bred research dogs housed at the University of Missouri in compliance with the National Institutes of Health Guide for the Care and Use of Laboratory Animals and approved by the University of Missouri Animal Care and Use Committee (protocol #8339).

Samples from DM-affected dogs were included if the following criteria were met: (1) clinical history and neurological examination consistent with DM, with assignment to disease stage based on a clinical grading scale (Table 1), (2) homozygosity for 118G>A SOD1 mutation, (3) histopathological diagnosis of DM by a board-certified veterinary pathologist (GJC) confirming axonal degeneration and astrogial proliferation most severe in the dorsal portion of the lateral funiculus and dorsal funiculi of the caudal thoracic spinal cord, and (4) SOD1-immunoreactive aggregates within ventral horn motor neurons.

We evaluated multiple control groups in this study. To assess an effect of age on the concentration of pNF-H in biological fluid, we evaluated samples from young and old neurologically normal dogs. Samples from dogs that were 1–7 years of age with a normal neurological examination and wild-type SOD1 genotype were included in the young control group. The old control group included samples from dogs that were ≥ 8 years of age with a normal neurological examination, wild-type SOD1 genotype, and normal histopathology of the caudal thoracic spinal cord. A third control group consisted of dogs ≥ 8 years of age with a normal neurological examination that were homozygous for the SOD1c.118A allele (asymptomatic, at-risk). To assess the specificity of increased CSF pNF-H to DM, we analyzed samples from the latter group and from dogs with chronic, progressive myelopathy from acquired spinal cord diseases that mimic DM (DM mimics). The DM mimic group included samples from dogs that were ≥ 8 years of age with a clinical history and neurological examination consistent with a chronic, progressive myelopathy. Furthermore, identification of thoracolumbar Hansen type 2 intervertebral disk herniation at necropsy or histopathological diagnosis of non-DM-associated myelopathy or neuropathy was required for inclusion. All SOD1 genotypes were included in this group.

**Sample Collection and Testing**

Cerebrospinal fluid samples were collected from the cerebellomedullary cistern at the time of neurodiagnostic testing, immediately post-euthanasia, or both. When available, results of CSF total nucleated cell count, differential cellularity, and total protein concentration were recorded. Aliquots of CSF were immediately frozen at −80 °C. Whole blood was collected into a plain glass tube containing no anticoagulants or preservatives. After clotting at room temperature for at least 30 minutes, samples were centrifuged for 10 minutes at 1278 × g. Serum and CSF samples were stored at −80 °C until analysis.

We measured serum and CSF pNF-H concentration with a commercially available ELISA kit (catalog号 ELISA-pNF-H version 2.2; ) according to the manufacturer’s instructions. Samples underwent 2 freeze-thaw cycles before analysis, once for aliquoting into smaller volumes and once for analysis. Standards and samples were evaluated in triplicate. To maintain optical densities within the linear range of the standard curve, samples were diluted as follows: control CSF 1:10, DM-affected CSF 1:20, control, and DM-affected serum 3:5.

For quality control, we assessed intra- and interassay coefficients of variability (CV). Intra-assay CV, calculated from the optical densities of plate standards from 5 independent experiments, was 6.3% (range 4.5–7.6%). Five test samples, run on 3 independent experiments, yielded an interassay CV of 12.6% (range 6–21%).

**Stability Testing**

We compared the stability and variability of CSF pNF-H concentration between 2 handling conditions. Specifically, we tested samples that received standard laboratory treatment and those that were treated to simulate shipment from collaborators. We tested samples from 1 dog/group for the following groups: aged control, asymptomatic, at-risk, and DM stage 1. Each sample collected was divided into aliquots that were either immediately frozen at −80 °C or stored overnight at −20 °C, placed in a cooler at room temperature with an ice pack for 24–48 hours, and then
frozen at −80°C. Each pair of samples was then compared over 4 independent experiments.

Statistical Analysis

Cerebrospinal fluid and serum pNF-H concentrations were stratified by study group and tested for normality. Normally distributed data were compared via unpaired, 2-tailed Student’s t-test and presented as the mean ± standard deviation. Non-normally distributed data were analyzed by Kruskal–Wallis ANOVA on ranks with posthoc multivariate analyses and presented as the median with interquartile range. Correlation between CSF pNF-H concentration and DM disease stage was calculated by Spearman rank correlation. Receiver-operating characteristic (ROC) curve analysis was performed to determine the accuracy of CSF pNF-H concentration to detect DM.12 All calculations and graphics were made by GraphPad Prism 6.0 software.

Results

Clinical Features

Cerebrospinal fluid, serum, or both samples from 53 DM-affected dogs were evaluated. There were 4 sexually intact females, 21 spayed females, 7 sexually intact males, and 21 castrated males. Median age of stage 1 dogs (n = 11) was 9.6 years (range 7–12.9 years), stage 2 (n = 13) 11.2 years (range 8–13 years), stage 3 dogs (n = 18) 12 years (range 10–15 years), and stage 4 (n = 11) 13.3 years (range 10.5–15 years). Breeds included Boxer (stage 1 n = 5, stage 2 n = 3, stage 3 n = 5, stage 4 n = 2), Pembroke Welsh Corgi (stage 1 n = 2, stage 2 n = 5, stage 3 n = 10, stage 4 n = 6), Chesapeake Bay Retriever (stage 1 n = 3, stage 2 n = 1), mixed-breed dog (stage 3 n = 2, stage 4 n = 3) and 1 each of Airedale Terrier (stage 3), Australian Shepherd (stage 2), Bernese Mountain Dog (stage 2), Duck Tolling Retriever (stage 2), German Shepherd Dog (stage 1), and Wire-hair Fox Terrier (stage 2).

Cerebrospinal fluid, serum, or both samples from 13 young, neurologically normal dogs included 7 sexually intact females, 2 spayed females, and 4 sexually intact males with a median age of 2 years (range 1.6–5.5 years). Breeds included Boxer (n = 2), Golden Retriever (n = 1), and mixed-breed dogs (n = 10). Samples from 14 old, neurologically normal dogs included 5 sexually intact females, 6 spayed females, 1 sexually intact male, and 2 castrated males with a median age of 10 years (range 8–16 years). Breeds included Beagle (n = 4), Rhodesian Ridgeback (n = 2), mixed-breed dogs (n = 2), and 1 each of Airedale Terrier, Basenji, Bernese Mountain dog, Pembroke Welsh Corgi, Standard Schnauzer, and Weimaraner. Samples from 7 asymptomatic, at-risk dogs included 4 intact females, 2 spayed females, and 1 intact male with a median age of 12.5 years (range 8.5–14.2 years). Breeds included Boxer (n = 3) and Pembroke Welsh Corgi (n = 4). Samples from 12 DM mimic dogs included 2 sexually intact females, 2 spayed females, 2 sexually intact males, and 6 castrated males with a median age of 12.3 years (range 8–16 years). Breeds included German Shepherd dog (n = 2), Pembroke Welsh Corgi (n = 2), Standard Schnauzer (n = 2), and 1 each of Afghan Hound, English Cocker Spaniel, Golden Retriever, Labrador Retriever, Golden Retriever, and Rhodesian Ridgeback. Diagnosis of DM mimics included Hansen type 2 intervertebral disk herniation (n = 7), chronic fibrocartilaginous embolism with syringohydromyelia and fibrosis (n = 1), axonal dystrophy (n = 1), diffuse white matter and peripheral nerve degeneration (n = 1), radiculopathy with neurogenic atrophy of pelvic limb muscles (n = 1), and adult onset neuropathy in English Cocker Spaniels (n = 1).

Cerebrospinal Fluid Analysis

Cerebrospinal fluid analysis of DM-affected dogs (n = 21) revealed a median nucleated cell count of 1 cell/μL (range, 0–19 cells/μL), median CSF red blood cell count of 3 cells/μL (range, 0–2,834 cells/μL), and median protein concentration of 26 mg/dL (range, 18–100). Three dogs had CSF pleocytosis, which were classified as mixed cell in all dogs. Cerebrospinal fluid protein concentration was above the reference interval (≥25 mg/dL) in 10 dogs. Young and old control dogs (n = 9) had a median nucleated cell count of 1 cell/μL (range, 0–4 cells/μL), median CSF red blood cell count of 2 cells/μL (range, 0–36 cells/μL), and median protein concentration of 17 mg/dL (range, 13–43). Cerebrospinal fluid protein concentration was above the reference interval in 2 dogs. Asymptomatic, at-risk dogs (n = 3) had a median nucleated cell count of 3 cells/μL (range, 1–4 cells/μL), median Cerebrospinal fluid red blood cell count of 239 cells/μL (range, 3–70,000 cells/μL), and median

![Fig 1. Phosphorylated neurofilament heavy (pNF-H) concentration in cerebrospinal fluid (CSF) and serum does not change with age. (A) Mean CSF concentration of pNF-H was not different between young dogs (≤7 years, n = 9*) and aged dogs (≥8 years, n = 12*); P = .1385. (B) Mean serum pNF-H concentration was not different in young dogs (n = 12*) and aged dogs (n = 9*); P = .4321. Comparisons based on unpaired t-test; bars represent group mean and standard deviation. pNF-H was below detectable limits in some samples evaluated (CSF: young n = 3, old n = 1; serum young n = 3, old n = 3).](image-url)
protein concentration of 26 mg/dL (range, 21–72). Cerebrospinal fluid protein concentration was above the reference interval in 2 dogs. DM mimic dogs (n = 6) had median nucleated cell count of 1.5 cells/µL (range, 0–11 cells/µL), median CSF red blood cell count of 3.5 cells/µL (range, 0–634 cells/µL), and median protein concentration of 27 mg/dL (range, 19–29). One dog had a large mononuclear pleocytosis. Four dogs had protein concentrations above the reference interval.

**Phosphorylated Neurofilament Heavy Concentration in Biological Fluid**

The mean CSF pNF-H concentration was not significantly different between young and old control dogs (Fig 1A; 2.6 ± 1.8 ng/mL versus 6.1 ± 5.2 ng/mL, P = .1385). The variance in concentrations was higher among the old than the young dogs (P = .02). Cerebrospinal fluid pNF-H was below the limit of detection in 3 young dogs and 1 old dog. Similarly, there was no significant difference in mean serum pNF-H concentration between young and old control dogs (Fig 1B; 0.5 ± 0.3 ng/mL versus 1.4 ± 2.4 ng/mL, P = .417). The variance in serum pNF-H concentrations was higher among the old dogs (P < .0001). Serum pNF-H was below the limit of detection in 3 dogs of each group tested.

Compared with old control dogs, median CSF pNF-H concentration was increased at all DM stages (Fig 2A; 5.1 ng/mL [IQR 1.4–9.3] versus 23.9 ng/mL [IQR 20.8–29.6; P < .05] versus 36.8 ng/mL [IQR 22.9–51.2; P < .0001] versus 25.2 ng/mL [IQR 20.2–61.2; P < .001] versus 38.0 ng/mL [IQR 11.6–59.9; P < .01]). No significant differences in median CSF pNF-H concentration were observed between DM stages. To evaluate the specificity of increased CSF pNF-H concentration to DM, we compared asymptomatic at-risk dogs and DM mimics to early DM-affected dogs (stage 1). While there was no difference between asymptomatic, at-risk dogs and DM mimics, median CSF
pNF-H concentration was increased in DM stage 1 dogs relative to both control groups (Fig 2C; 3.4 ng/mL [IQR 1.5–10.9] versus 6.6 ng/dL [IQR 3.0–12.3] versus 24.1 ng/dL [IQR 20.1–30.0; P < .01]). There was no difference in median serum pNF-H concentration between old control dogs and DM-affected dogs at any stage (Fig 3A; P = .5151).

To evaluate the diagnostic performance of this assay, a ROC curve was generated. A pNF-H cutoff concentration above 20.25 ng/mL yielded the optimal discrimination between DM stage 1, asymptomatic, at-risk, and DM mimic dogs, with a sensitivity of 90.0% (confidence interval, CI 55.5–99.75%) and specificity of 89.5% (Fig 4A; CI 66.86–98.70%). The calculated area under the curve (AUC) was 0.9263 (CI 0.8270–1.026). Applying this cutoff to include all other DM-affected and old control dogs, diagnostic sensitivity was 80.4% (CI 66.09–90.64%) with 93.6% specificity (Fig 4B; CI 78.58–99.21%). The calculated area under the curve (AUC) was 0.9467 (CI 0.898–0.996; P < .0001).

**Stability Testing**

Delayed deep-freezing did not affect CSF pNF-H concentration (Fig 5A–C); old control dogs, immediate freezing versus delayed freezing: 10.6 ± 2.5 ng/mL versus 15.3 ± 3.0 ng/mL (P = .1037); asymptomatic, at-risk, 8.8 ± 2.1 ng/dL versus 8.7 ± 3.0 ng/dL (P = .9434); DM stage 1, 38.3 ± 0.8 ng/dL versus 40.0 ± 1.7 ng/dL (P = .4337).

**Discussion**

The results of this study show that pNF-H is increased in the CSF, but not in serum of DM-affected dogs relative to control groups. Comparing all groups, CSF pNF-H concentration >20.25 ng/mL is 80.4% sensitive (CI 66.09–90.64%) and 95.0% specific (CI 83.08–99.39%) to identify DM. Moreover, the positive likelihood ratio for CSF pNF-H >20.25 ng/mL to
diagnose DM is 16:1, a value consistent with conclusive probability of diagnosis.\(^{27}\) Taken together, quantification of CSF pNF-H has potential as an accurate diagnostic test for SOD1\(^{E40K}\)-associated canine DM.

While CSF protein constituents have been increased in DM-affected dogs, none have shown specificity for DM.\(^{28,29}\) Diagnosis of DM is most challenging in dogs with a genetic predisposition for DM and concurrent acquired myelopathy. As the majority of dogs in the DM mimic cohort had clinical signs secondary to Hansen type 2 intervertebral disk herniation, the high specificity of increased CSF pNF-H concentration to DM is large in comparison with compressive myelopathy. Thus, this test may provide a novel discrimination between chronic, compressive myelopathy and primary axonal degenerative disease, which can be used to guide clinical recommendations and client expectations. However, further study should focus on larger DM mimic cohorts, which include a variety of primary axonal diseases to further evaluate the specificity of increased CSF pNF-H to DM.

Serum evaluation of pNF-H did not reveal detectable differences between DM and control dogs. This was unexpected, as pNF-H is readily detectable in the serum of dogs with acute, severe spinal injury,\(^{12,13}\) and ALS patients.\(^{15,24}\) The lack of detectable differences is likely due to the variability of serum pNF-H within all groups. Axons are highly sensitive to metabolic and mechanical stress,\(^{30}\) thus raising the question of concurrent, unidentified axonopathy in a subset of these control dogs. Electrodiagnostic examinations were not performed in all cases to exclude this possibility. However, the ease of serum sample acquisition relative to CSF warrants further investigation with increased sample size to determine the utility of serum pNF-H for diagnosis and disease monitoring in DM.

Interestingly, there was no significant correlation between DM disease stage and CSF pNF-H concentration. The pNF-H protein, a major structural component of large, myelinated axons, has proven a useful surrogate measure of axonal damage.\(^{23,31,32}\) It is tempting to predict that progressive axonal damage in a homogeneous disease such as DM would result in steady increases in CSF pNF-H that would correlate with disease stage. However, we report a broad range of pNF-H concentrations within each disease stage. Similarly, human ALS patients have a wide variability in CSF pNF-H concentrations, which are weakly associated with the ALS function rating scale (ALSFRS-R).\(^{16,24}\) Disease-related alterations in neurofilament subunit (NF) regulation may underlie this finding. Like ALS patients,\(^{33,34}\) DM-affected dogs have early and progressive ectopic phosphorylation of NF-H within neuronal perikarya, without a significant decline in total pNF-H protein in the lumbar spinal cord (C.M. Toedebusch, unpublished data). While the mechanisms are not completely understood, disease-specific alterations in NF synthesis, turnover, and axonal transport have been implicated in NF accumulation and likely to influence detectable CSF levels throughout disease.\(^{11,35-38}\) Thus, longitudinal studies within individual dogs may be necessary to determine increases with disease progression.

A limitation of this study is the available sample size per group for serum analysis. To evaluate whether our non-significant results were due to lack of statistical power, we conducted a posthoc power analysis by SigmaPlot 11 software\(^d\) with power (1-\(\beta\)) set at 0.80 and \(\alpha = 0.05\). These findings indicated a total sample population of 550 (or 110/group) would be necessary to reach significance with \(P < .05\).
An additional limitation of this study is the limited variety of neurological diseases evaluated in the DM mimic cohort. A DM mimic case diagnosed with diffuse central and peripheral axonopathy had a CSF pNF-H concentration consistent with DM dogs (30 ng/mL). While our data suggest a clear distinction in CSF pNF-H concentration between compressive myelopathy and DM, it is possible that primary axonal diseases may not be distinguishable from each other. Therefore, further investigation with larger, more inclusive cohorts is necessary to fully elucidate the relationship of CSF pNF-H concentration and underlying neurological disease.

In conclusion, the results from this present study indicate that quantification of CSF pNF-H concentration is a promising antemortem diagnostic tool for DM. Further study of a larger cohort of DM mimic dogs is necessary to validate the overall specificity of increased CSF pNF-H to DM.

Footnotes
* Encor Biotechnology, Inc, Gainesville, FL
* GraphPad Software, Inc La Jolla, CA
* Systat Software Inc, San Jose, CA

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Off-label Antimicrobial Declaration: Authors declare no off-label use of antimicrobials.

References


